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| FORM PTO-1449  | SERIAL NO.<br>09/841,764      | CASE NO.<br>10932/162  |
| <b>LIST OF PATENTS AND PUBLICATIONS FOR<br/>APPLICANT'S INFORMATION DISCLOSURE<br/>STATEMENT</b> | FILING DATE<br>April 24, 2001 | GROUP ART UNIT<br>2641 |
| (use several sheets if necessary)  | APPLICANT(S): Thyssen et al.  |                        |

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE      | NAME       | CLASS/ SUBCLASS | FILING DATE |
|------------------|-----------------|-----------|------------|-----------------|-------------|
|                  | A1              | 5,546,395 | Aug. 1996  | Sharma et al.   |             |
|                  | A2              | 5,553,243 | 09/03/1996 | Harrison et al. |             |
|                  | A3              | 5,592,586 | Jan. 1997  | Maitra et al.   |             |
|                  | A4              | 5,632,005 | May 1997   | Davis et al.    |             |
|                  | A5              | 5,687,184 | Nov. 1997  | Lorenz et al.   |             |
|                  | A6              | 5,778,338 | July 1998  | Jacobs et al.   | RECEIVED    |
|                  | A7              | 5,812,965 | Sept. 1998 | Massaloux       |             |
|                  | A8              | 5,812,966 | Sept. 1998 | Byun et al.     | AUG 24 2011 |

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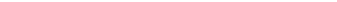
| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER | DATE       | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES | NO |
|---------------------|--------------------|------------|---------|--------------------|--------------------|----|
| A9                  | WO92/22891         | 12/23/1992 | WO      |                    |                    |    |
| A10                 | O680034A1          | 04/25/1995 | EP      |                    |                    |    |
| A11                 | WO98/15946         | 04/16/1998 | WO      |                    |                    |    |

**EXAMINER  
INITIAL**

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

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| DAN | A12 | Reibman et al. (A. Reibman & W. Nolte, "Optimal Fault-Tolerant Signal Detection," IEEE Transactions on Acoustics, Speech & Signal Processing, Jan. 1990)   |
| DAN | A13 | Erdal Paksoy, Krishnaswamy Srinivasan, and Allen Gersho, "Variable Bit-Rate CELP Coding of Speech with Phonetic Classification," European Transactions on Telecommunications and Related Technologies, Vol. 5, No. 5, Sept./Oct. 1994, pp. 57/591-67/601 |
| DAN | A14 | Dellaert et al. (F. Dellaert, T. Polzin, & A. Waibel, "Recognizing Emotion in Speech," International Conference on Spoken Language Proceedings, Oct. 1996)   |
| DAN | A15 | Adil Benyassine, et al., "ITU-T Recommendation G.729 Annex B A Silence Compression Scheme for Use with G.729 Optimized for V.70 Digital Simultaneous Voice and Data Applications," IEEE Communications Magazine, September 1997, pp. 64-73               |

Copies of Judgments not provided: 2nd Notice

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|---|-------------------------------|
| EXAMINER<br> | DATE CONSIDERED<br>28 Aug. 01 |
|---|-------------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;  
Draw line through citation if not in conformance and not considered. Include copy of this form with next  
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